EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	19129	COMPLIANC$3 AND RISK$4	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 07:57
L2	26178	COMPLIAN$5 AND RISK$4	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:03
L3	40108	("705").CLAS.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:03
L4	2406	l2 and l3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:03
L5	474	l2 and l3 and (business near3 (rule$4 or polic$5))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 07:58
L6	53	l2 and l3 and ((business near3 (rule$4 or polic$5)) same risk$4)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 07:59
L7	52	l2 and l3 and ((business near3 (rule$4 or polic$5)) same complian$4)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:08
L8	1707	(705/7).CCLS.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:03
L9	4862	(705/1).CCLS.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:03

review *review*

L10	6352	(I8 or I9) and I3	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:03
L11	2	COMPLIAN$5 AND RISK$4 and (sub-risk$4 or subrisk$$4)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:04
L12	3	RISK$4 and (sub-risk$4 or subrisk$$4)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:04
L13	369	(COMPLIAN$5 near2 manag$7) AND RISK$4	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:06
L14	168	I3 and I13	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:04
L15	12420	(COMPLIAN$5) AND RISK$4	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:07
L16	392	(COMPLIAN$5) AND (RISK$4 near3 (manag$8 or mitigat$7))	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:06
L17	8	I16 and ((business near3 (rule$4 or polic$5)) same complian$4)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:07
L18	78	I16 and ((business near3 (rule$4 or polic$5)))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:07
L19	70	I18 not I17	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:07

review

scan

review

L20	891	((COMPLIAN$5) near4 manag$7)	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:08
L21	38	l20 and ((business near3 (rule$4 or polic$5)))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:09
L22	72	l20 same (rule$4 or polic$5)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:08
L23	238	complian$7 same ((business near3 (rule$4 or polic$5)))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:18
L24	232	l23 not l22	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:09
L25	230	l23 not l18	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:12
L26	48	l23 not l18	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:12
L27	1364	complian$7 and (rule$4 or polic$5) and ((control or action) near2 (plan$4 or task$4))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:18
L28	1619	complian$7 and (rule$4 or polic$5) and ((control$4 or action) near2 (plan$4 or task$4))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:18
L29	701	complian$7 and (rule$4 or polic$5) and ((control$4 or action) near2 (plan$4 or task$4)) and risk$4	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:18

review

scan

scan

L30	544	complian$7 and (rule$4 or polic$5) and ((control$4 or action) near2 (plan$4 or task$4)) and risk$4 and date$4	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:18
L31	206	complian$7 and (rule$4 or polic$5) and ((control$4 or action) near2 (plan$4 or task$4)) and risk$4 and date$4	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:19
L32	52	l29 and l3	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:19

EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	71	(comply$ or complianc$4) same business$3 same (polic$3 or procedure$2)	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:23
L2	152	(comply$ or complianc$4) same business$3 same (polic$3 or procedure$2 or rule$4)	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:23
L3	45	risk$4 and ((comply$ or complianc$4) same business$3 same (polic$3 or procedure$2 or rule$4))	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:27
L4	0	("6912502").URPN.	USPAT	OR	OFF	2006/03/15 08:24
L5	8	("20020002475" | "20020002488" | "20020019754" | "20020120642" | "5680305" | "6029144" | "6067549" | "6163732").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/03/15 08:25
L6	9	"6,223,143"	US-PGPUB; USPAT; USOCR	OR	OFF	2006/03/15 08:25
L7	0	("6895383").URPN.	USPAT	OR	OFF	2006/03/15 08:25
L8	14	("20020147803" | "5311593" | "5679940" | "5734697" | "5892903" | "5930762" | "5991743" | "6006016" | "6125453" | "6223143" | "6298445" | "6301668" | "6397202" | "6535227").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/03/15 08:25
L9	0	("7006992").URPN.	USPAT	OR	OFF	2006/03/15 08:26
L10	3	("6202060" | "6223143" | "6421653").PN.	US-PGPUB; USPAT; USOCR	OR	OFF	2006/03/15 08:26
L11	1555	risk$4 and ((comply$ or complianc$4) same (polic$3 or procedure$2 or rule$4))	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:27
L12	643	risk$4 and ((comply$ or complianc$4) near5 (polic$3 or procedure$2 or rule$4))	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:27
L13	417	risk$4 and ((comply$ or complianc$4) near5 (polic$3 or procedure$2 or rule$4)) and (rate$4 or rating$4 or scor$4)	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:27

L14	180	risk$4 and ((comply$ or complianc$4) near5 (polic$3 or procedure$2 or rule$4)) and (rate$4 or rating$4 or scor$4) and (plan$4 or task$4) and date$4	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:28
L15	59	risk$4 and ((comply$ or complianc$4) near5 (polic$3 or procedure$2 or rule$4)) and (rate$4 or rating$4 or scor$4) and ((plan$4 or task$4) same date$4)	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:29
L16	4862	(705/1).CCLS.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:29
L17	1707	(705/7).CCLS.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:29
L18	43	(risk$4 and (complianc$4 or comply$4)).clm.	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:32
L19	214	(risk$4 and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:30
L20	109	(risk$4 same (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:30
L21	0	(risk$4 and (control near1 procedure$2) and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:30
L22	6	(risk$4 and (action near3 plan$4) and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:31
L23	6	(risk$4 and ((compan$4 or corporation$2 or business$4) near2 (polic$6 or rule$4)) and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:32

Interference *(handwritten)*

EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L18	43	(risk$4 and (complianc$4 or comply$4)).clm. *review (handwritten)*	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:32
L19	214	(risk$4 and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:30
L20	109	(risk$4 same (complianc$4 or comply$4)).clm. *review (handwritten)*	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:30
L21	0	(risk$4 and (control near1 procedure$2) and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:30
L22	6	(risk$4 and (action near3 plan$4) and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:31
L23	6	(risk$4 and ((compan$4 or corporation$2 or business$4) near2 (polic$6 or rule$4)) and (complianc$4 or comply$4)).clm. *review (handwritten)*	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:32
L24	0	(risk$4 and (subrisk$2 or sub-risk$2) and (complianc$4 or comply$4)).clm.	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:33
L25	0	(risk$4 and (subrisk$2 or sub-risk$2) and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:33
L26	36	(l16 or l17) and l19 *review (handwritten)*	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:33

L24	0	(risk$4 and (subrisk$2 or sub-risk$2) and (complianc$4 or comply$4)).clm.	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:33
L25	0	(risk$4 and (subrisk$2 or sub-risk$2) and (complianc$4 or comply$4)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:33
L26	36	(l16 or l17) and l19	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:33
L27	6352	(l16 or l17)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:33
L28	506	(comply$4 or complian$6) near3 risk$4	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:34
L29	211	(comply$4 or complian$6) near3 risk$4	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:35
L30	456	(regulat$9) near3 risk$4	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:35
L31	803	(regulat$9) near3 risk$4	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:36
L32	232	(comply$6 or complian$7) and ((regulat$9) near3 risk$4)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:36
L33	62	(comply$6 or complian$7) and ((regulat$9) near3 risk$4)	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:39
L34	7285	(comply$6 or complian$7) near4 ((regulat$9))	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:40

L36	1152	risk$4 and ((comply$6 or complian$7) near4 ((regulat$9)))	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:40
L37	90	risk$4 and ((comply$6 or complian$7) near4 ((regulat$9))) and (business$4 or compan$4 or corportion$4) and (control$7 near4 (plan$4 or procedure$2))	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:41
L38	218	risk$4 and ((comply$6 or complian$7) near4 ((regulat$9))) and (business$4 or compan$4 or corportion$4) and weight$4 and (scor$4 or rate$2 or rating$4)	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:41
L39	90	risk$4 and ((comply$6 or complian$7) near4 ((scor$4 or rank$4 or rating$4 or rate$4))) and (business$4 or compan$4 or corportion$4) and weight$4 and (scor$4 or rate$2 or rating$4)	USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2006/03/15 08:41

```
SYSTEM:OS  - DIALOG OneSearch
   File  15:ABI/Inform(R) 1971-2006/Mar 15
         (c) 2006 ProQuest Info&Learning
   File  16:Gale Group PROMT(R) 1990-2006/Mar 15
         (c) 2006 The Gale Group
   File 148:Gale Group Trade & Industry DB 1976-2006/Mar 15
         (c)2006 The Gale Group
   File 160:Gale Group PROMT(R) 1972-1989
         (c) 1999 The Gale Group
   File 275:Gale Group Computer DB(TM) 1983-2006/Mar 15
         (c) 2006 The Gale Group
   File 621:Gale Group New Prod.Annou.(R) 1985-2006/Mar 15
         (c) 2006 The Gale Group
   File   9:Business & Industry(R) Jul/1994-2006/Mar 15
         (c) 2006  The Gale Group
   File  20:Dialog Global Reporter 1997-2006/Mar 15
         (c) 2006 Dialog
   File 476:Financial Times Fulltext 1982-2006/Mar 17
         (c) 2006 Financial Times Ltd
   File 610:Business Wire 1999-2006/Mar 16
         (c) 2006 Business Wire.
*File 610: File 610 now contains data from 3/99 forward.
Archive data (1986-2/99) is available in File 810.
   File 613:PR Newswire 1999-2006/Mar 16
         (c) 2006 PR Newswire Association Inc
*File 613: File 613 now contains data from 5/99 forward.
Archive data (1987-4/99) is available in File 813.
   File 624:McGraw-Hill Publications 1985-2006/Mar 16
         (c) 2006 McGraw-Hill Co. Inc
*File 624: Homeland Security & Defense and 9 Platt energy journals added
Please see HELP NEWS624 for more
   File 634:San Jose Mercury  Jun 1985-2006/Mar 15
         (c) 2006 San Jose Mercury News
   File 636:Gale Group Newsletter DB(TM) 1987-2006/Mar 15
         (c) 2006 The Gale Group
   File 810:Business Wire 1986-1999/Feb 28
         (c) 1999 Business Wire
   File 813:PR Newswire 1987-1999/Apr 30
         (c) 1999 PR Newswire Association Inc
   File   2:INSPEC 1898-2006/Mar W1
         (c) 2006 Institution of Electrical Engineers
   File  35:Dissertation Abs Online 1861-2006/Feb
         (c) 2006 ProQuest Info&Learning
   File  65:Inside Conferences 1993-2006/Mar 15
         (c) 2006 BLDSC all rts. reserv.
   File  99:Wilson Appl. Sci & Tech Abs 1983-2006/Feb
         (c) 2006 The HW Wilson Co.
   File 256:TecInfoSource 82-2006/Feb (c) 2006 Info.Sources Inc
   File 474:New York Times Abs 1969-2006/Mar 15
         (c) 2006 The New York Times
   File 475:Wall Street Journal Abs 1973-2006/Mar 15
         (c) 2006 The New York Times
   File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
         (c) 2002 The Gale Group
*File 583: This file is no longer updating as of 12-13-2002.
```

```
Set      Items    Description
S1      259472    (COMPLY? OR COMPLIAN?) (3N) (MANAG? OR MITIGAT? OR POLIC? -
                  OR PROCEDURE? OR RULE?)
S2        7794    S1 AND RISK? AND WEIGHT?
S3        7888    (ACTION (2N) PLAN?) AND (COMPLIAN? OR COMPLY?) AND RISK?
S4         173    S2 AND S3
S5         146    RD S4   (unique items)
S6          68    S5 AND (PD<2000 OR PY<2000)
S7          53    S6 AND (FUTURE? OR FORECAST?)
S8          15    S6 AND DATABASE?
```

review